



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

**PATENT APPLICATION**

**Applicant(s):** Prabhu et al.

**Case:** 3522 P1/CMP/CMP/RKK

**Conf. No. :**

**Serial No.:** 10/680,631

**Filed:** October 7, 2003

**Title:** PLATEN WITH PATTERNED SURFACE FOR CHEMICAL MECHANICAL  
POLISHING

COMMISSIONER FOR PATENTS  
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S I R:

Disclosure Statement under 37 CFR 1.56 and 1.98

Pursuant to 37 CFR 1.56, the documents listed on the attached form PTO-1449 are disclosed.

Pursuant to 37 CFR 1.98(d), the documents listed on PART A of the PTO-1449 were previously cited by or submitted with a Disclosure Statement in a prior application that is relied upon by the above-identified Continuation-in-Part application for an earlier filing date under 35 U.S.C. 120. As such, the documents may be found in the file wrapper of U.S. patent application serial number 10/619,745, filed on July 15, 2003; and U.S. patent application serial number 09/759,556, filed on January 12, 2001 now U.S. Patent 6,592,438 issued July 15, 2003; and U.S. patent application serial number 09/285,508, filed on April 2, 1999 now U.S. Patent 6,220,942 issued April 24, 2001. Inclusion of these documents on any patent issuing from this continuation-in-part application is respectfully requested.

Pursuant to 37 CFR 1.98(a), the documents listed on PART B of the PTO-1449 are submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); before


the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

Under rule 37 C.F.R. 1.98(a), the applicant submits that no specific comments are necessary for any of the cited English language publications.



Respectfully submitted

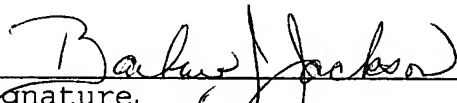
Date Jan 8, 2004

By:   
Keith P. Taboada, Esq.  
Reg. No. 45,150

Moser, Patterson & Sheridan, LLP  
595 Shrewsbury Avenue, Suite 100  
Shrewsbury, New Jersey 07702  
Telephone: 732-530-9404  
Facsimile: 732-530-9808

CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on January 9, 2004 with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450

  
Signature  
January 9, 2004  
Name Barbara J. Jackson

U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
(PTO Form 1449 modified)		3522 P1/CMP/CMP/RKK	10/680,631
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Applicant Prabhu, et al.	Confirmation No.:
(Use several sheets if necessary)		Filing Date	Group
Examiner		October 7, 2003	

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Part A

**U.S. Patent Documents**

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	2,819,568	01/14/1958	Kasick	51	197	
	A3	5,212,910	05/25/1993	Breivogel et al.	451	533x	
	A4	5,403,228	04/04/1995	Pasch	451	259	
	A5	5,486,129	01/23/1996	Sandhu et al	451	5	
	A6	5,624,304	04/29/1997	Pasch et al.	451	287	
	A7	5,658,183	08/19/1997	Sandhu et al.	451	5	
	A8	5,664,989	09/09/1997	Nakata et al.	451	41	
	A9	5,679,064	10/21/1997	Nishi et al.	451	550x	
	A10	5,718,620	02/17/1998	Tanaka et al.	451	288	
	A11	5,738,574	04/13/1998	Tolles et al.	451	288	
	A12	5,853,317	12/29/1998	Tamamoto	451	288	
	A13	5,873,769	02/23/1999	Chiou et al.	451	7	
	A14	5,730,642	03/24/1998	Sandhu et al.	451	6	
	A15	5,888,126	03/30/1999	Hirose et al.	451	281	
	A16	5,921,952	07/12/1999	Kimura et al.	451	285	
	A17	6,033,293	03/07/2000	Crevasse et al	451	285	
	A18	6,093,085	07/25/2000	Yellitz et al.	451	288	
	A19	6,244,841	06/12/2001	Bowman et al.	451	287	

**Foreign Patent Documents**

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1	0 648 575	04/19/1995	EPO	B24B	37/04	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	B2						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER ART**

*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	

U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
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Examiner		October 7, 2003	

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Part B

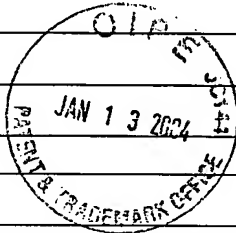
U.S. Patent Documents

*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
	A1	3,841,031	10/15/1974	Walsh	51	283	
	A2	5,257,478	11/02/1993	Hyde et al.	51	131.3	
	A3	5,489,233	02/06/1996	Cook et al.	451	41	
	A4	5,578,362	11/26/1996	Reinhardt et al.	428	147	
	A5	5,605,760	02/25/1997	Roberts	428	409	
	A6	5,725,420	03/10/1998	Torii	451	285	
	A7	5,730,642	03/24/1998	Sandhu et al.	451	6	
	A8	5,795,218	08/18/1998	Doan et al.	451	526	
	A9	5,888,121	03/30/1999	Kirchner et al.	451	41	
	A10	5,899,745	05/04/1999	Kim, et al.	438	692	
	A11	5,944,583	08/31/1999	Cruz, et al.	451	41	
	A12	5,951,380	09/14/1999	Kim	451	65	
	A13	5,985,090	11/16/1999	Kikuta, et al.	156	345	
	A14	6,106,661	08/22/2000	Raeder, et al.	156	345	
	A15	6,168,508	01/02/2001	Nagahara, et al.	451	527	
	A16	6,197,692	03/06/2001	Fushimi	438	692	
	A17	6,520,843	02/18/2003	Halley	451	285	
	A18	6,551,179	04/22/2003	Halley	451	285	

For Ign Patent Documents

*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1							
	B2							
	B3							
	B4							

U.S. Department of Commerce, Patent and Trademark Office		Docket No.	Serial No.
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<b>OTHER ART</b>		
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.
	C1	
	C2	
Examiner		Date Considered
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>		